



## WHY STUDY STABILITY IN MECHANICS?

IN DESIGN WE GENERALLY ADDRESS TWO ISSUES:

- CHECK OPERATING LOADS (STRESSES WITHIN ELASTIC LIMITS)
- DESIGN TO AVOID FAILURE (SAFETY AT EXTREME LOADS)

FAILURE OF STRUCTURES FALLS INTO TWO BASIC TYPES:

- FRACTURE (STRESS CONCENTRATION AT **LOCAL FLAWS**)
- **BUCKLING** (**OVERALL** STRUCTURAL FAILURE DUE TO **INSTABILITY**)

**REASON** FOR BUCKLING INSTABILITY: **NONLINEAR** BEHAVIOR OF STRUCTURES

**STUDY OF STABILITY IMPORTANT NOT ONLY FOR  
ENGINEERING STRUCTURES, BUT FOR A MUCH WIDER  
RANGE OF APPLICATIONS IN SOLIDS AND MATERIALS**



## COURSE OUTLINE

1. **Concept of stability and examples of discrete systems**
2. **Concept of bifurcation and examples of discrete systems**
3. **General theory for continuum systems: applications to 1D structures (beams)**
4. **Continuum elastic systems: applications to 2D structures (plates, simple mode)**
5. **Continuum elastic systems: applications to 2D structures (plates, multiple mode)**
6. **FEM considerations & composite materials: applications to layered solids in 2D**
7. **Cellular solids: applications to honeycomb**
8. **Phase transformations in shape memory alloys: 1D continuum & 3D lattice models**
9. **REVIEW**



## MOTIVATION

- STABILITY OF SOLIDS PLAYS **IMPORTANT ROLE** IN SOLID MECHANICS
- FIELD STARTS WITH **EULER'S 1744 ELASTICA** PAPER FOR **COLUMN BUCKLING**
- **FIRST APPLICATIONS** IN **CIVIL & MECHANICAL** ENGINEERING INVOLVING THE **BUCKLING** OF VARIOUS TYPES OF **STRUCTURES**
- **SUBSEQUENTLY**, STRUCTURAL STABILITY OF PARAMOUNT IMPORTANCE IN **AEROSPACE APPLICATIONS** WHERE WEIGHT IS AT A PREMIUM (E.G. ROCKET FAILURES DUE TO CYLINDRICAL CASING BUCKLING)
- IN ADDITION TO **STRUCTURAL SCALE**, APPLICATIONS ALSO EXIST IN **OTHER SCALES: GEOLOGICAL**, E.G. LAYER FOLDING UNDER TECTONIC STRESSES, **MATERIAL**, E.G. FIBER KINKING IN COMPOSITES & LOCALIZATION OF DEFORMATION IN HONEYCOMB, EVEN AT **ATOMISTIC**, E.G. SHAPE MEMORY ALLOYS, SCALES.
- MANY EXCITING **NEW APPLICATIONS** OF SAME PRINCIPLES IN EXOTIC MATERIALS (E.G. PRINCIPLE OF TWISTED NEMATIC DEVICE THAT ALLOWS FOR **LIQUID CRYSTAL DISPLAYS!**)

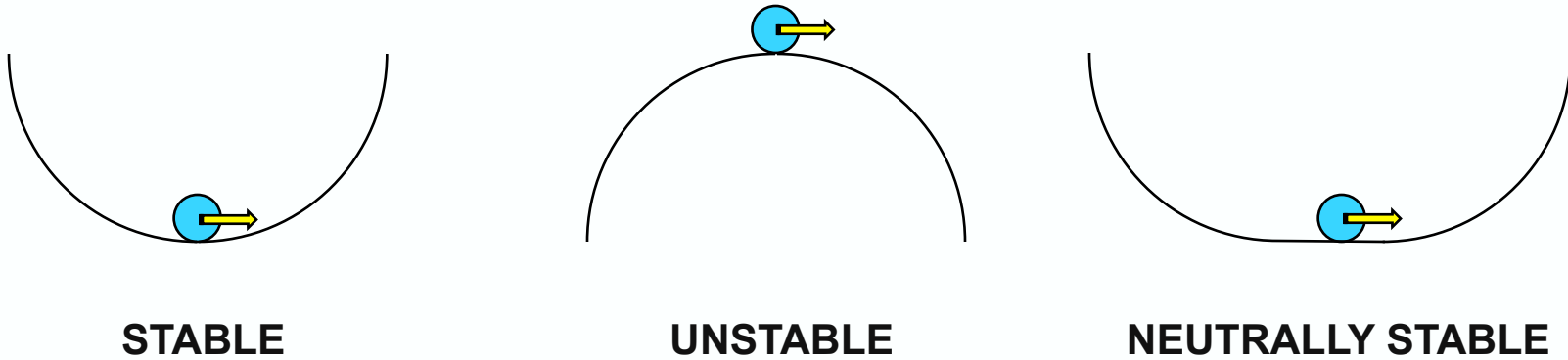


# CONCEPT OF STABILITY



**STABILITY ACCORDING TO DICTIONARY: “THE STATE OR QUALITY OF BEING RESISTANT TO CHANGE, DETERIORATION OR DISPLACEMENT”**

**INTUITIVE IDEA OF STABILITY: BALL AT TOP OR BOTTOM OF HILL**

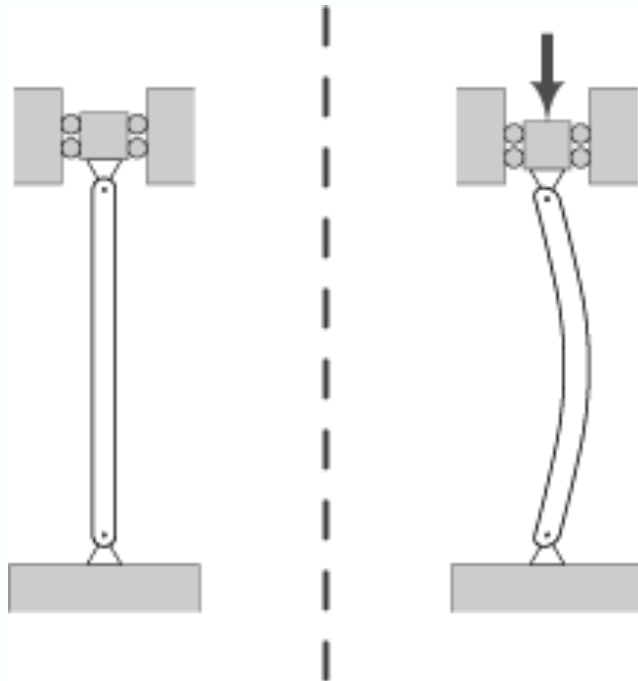


**STABILITY PROBLEMS ONE CAN CONSIDER:**

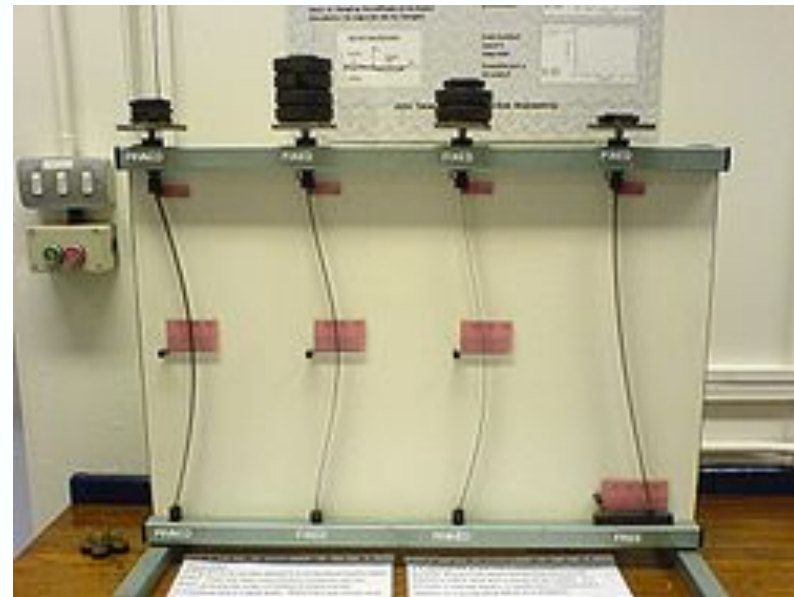
- **STABILITY OF AN EQUILIBRIUM** (e.g. loaded structures) – **OBJECT OF THIS CLASS**
- **STABILITY OF A STEADY STATE** (e.g. laminar flow)
- **STABILITY OF A TIME-DEPENDENT PERIODIC SYSTEM** (e.g. earth’s orbit)
- **STABILITY OF ARBITRARY TIME-DEPENDENT SYSTEM** (e.g. acrobatic maneuver)



## STRUCTURAL BUCKLING - BEAMS



**SCHEMATICS OF THE EULER (1744)  
BUCKLING IN AXIALLY LOADED BEAMS  
(SIMPLE SUPPORT ON BOTH ENDS)**



**EXPERIMENTS IN THE EULER BUCKLING  
OF AXIALLY LOADED BEAMS UNDER  
DIFFERENT BOUNDARY CONDITIONS**



## STRUCTURAL BUCKLING - BEAMS



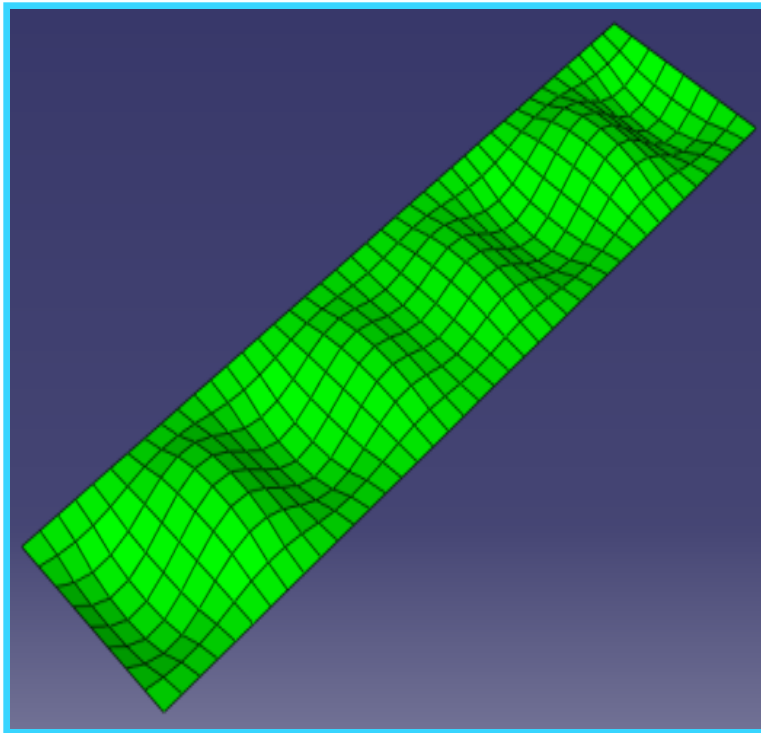
**THERMAL BUCKLING OF RAIL TRACKS  
DUE TO HEATING BY SUN (SUN KINK)**



**ROAD BUCKLING DUE TO TECTONIC  
COMPRESSION OF SUBSTRATE**



## STRUCTURAL BUCKLING - PLATES



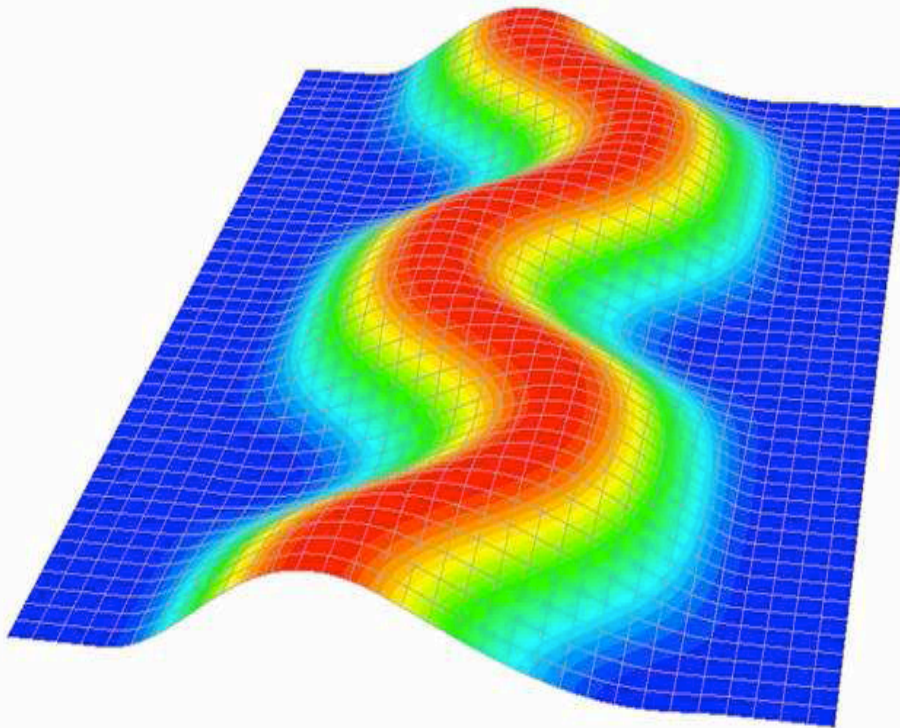
**BUCKLING OF PLATE AXIALLY LOADED  
ALONG THE LONG SIDE AND WITH A  
SIMPLE SUPPORT ON ALL EDGES**



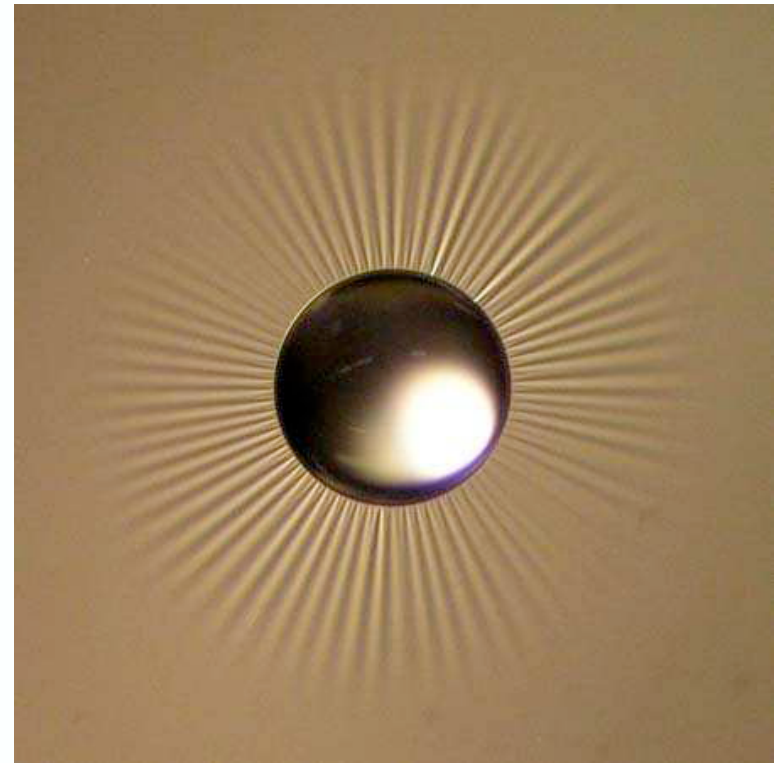
**BUCKLING OF SQUARE SECTION COLUMN  
USED IN AUTOMOTIVE APPLICATIONS TO  
ABSORB ENERGY (CRUMPLE ZONES)**



## BUCKLING OF THIN FILMS



**“TELEPHONE CORD” INSTABILITY**



**“BLISTER” INSTABILITY**

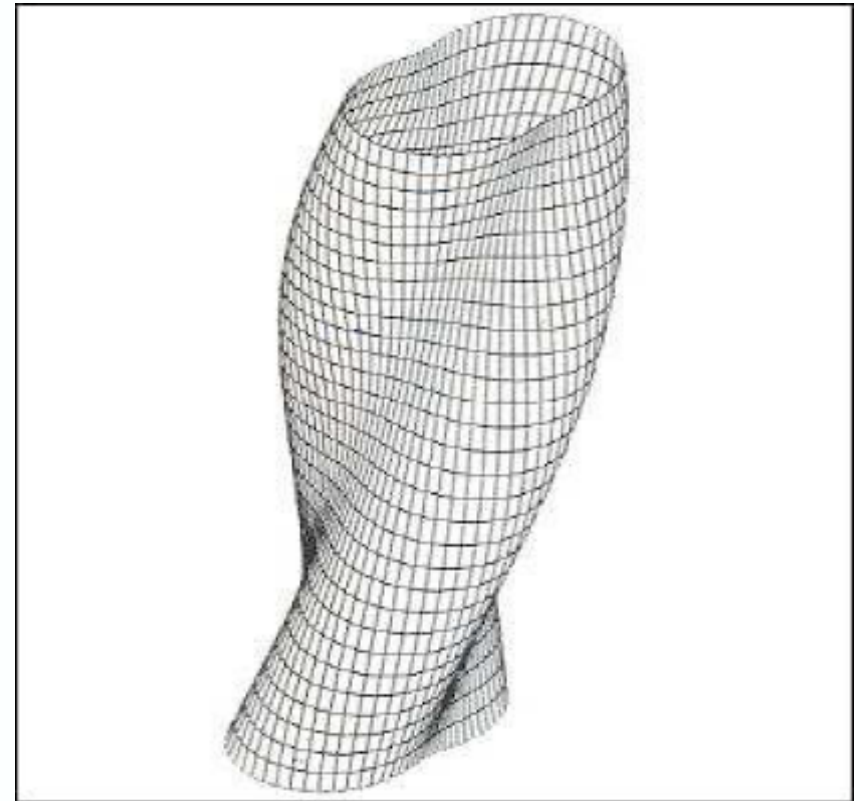




## STRUCTURAL BUCKLING - CYLINDERS



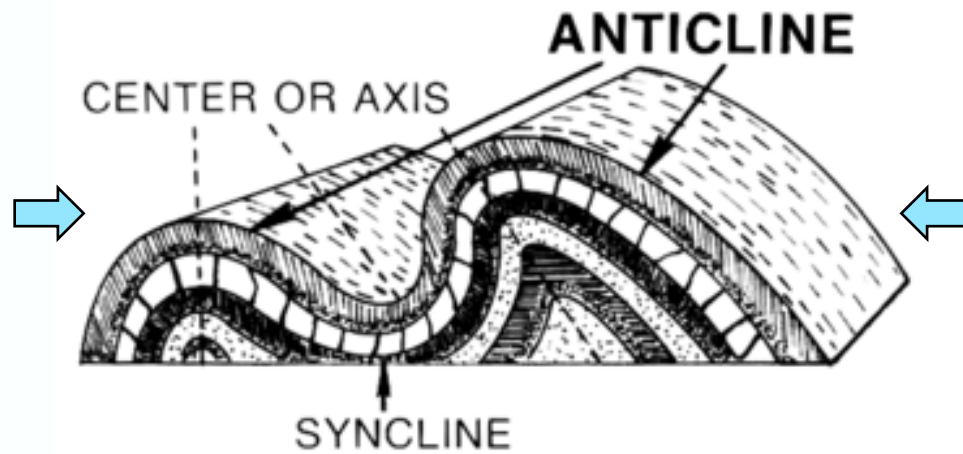
**BUCKLING OF CYLINDRICAL SHELL  
UNDER AXIAL COMPRESSION**



**BUCKLING OF CYLINDRICAL SHELL  
UNDER TORSION**



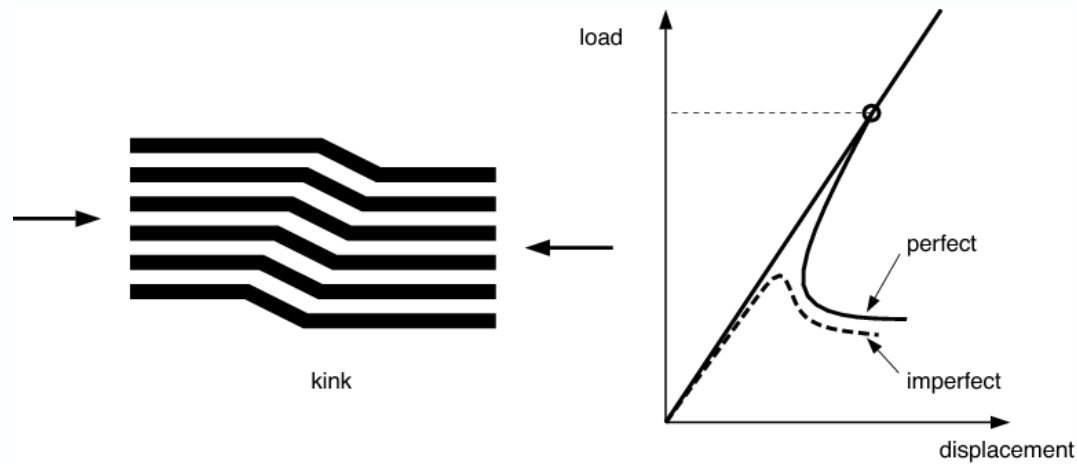
## GEOLOGICAL BUCKLING



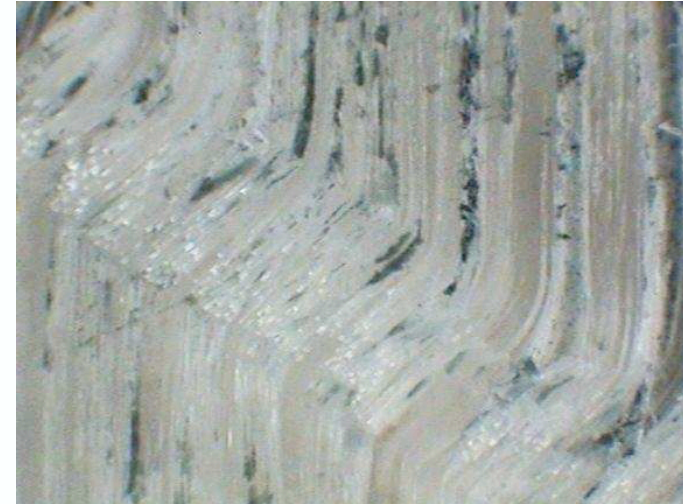
**EXAMPLE OF GEOLOGICAL BUCKLING AT DIFFERENT SCALES**



## MICROSTRUCTURAL FAILURE MECHANISMS

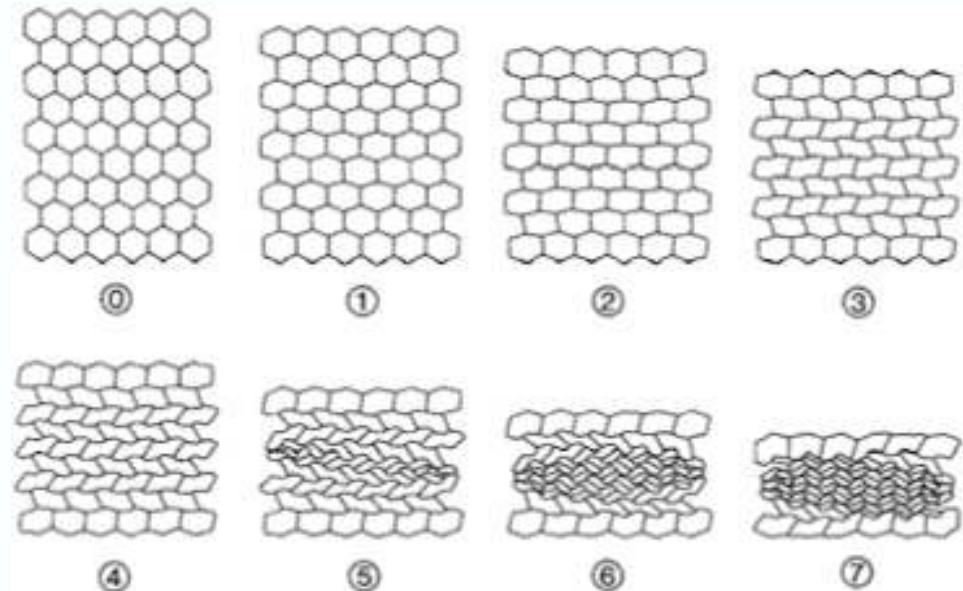
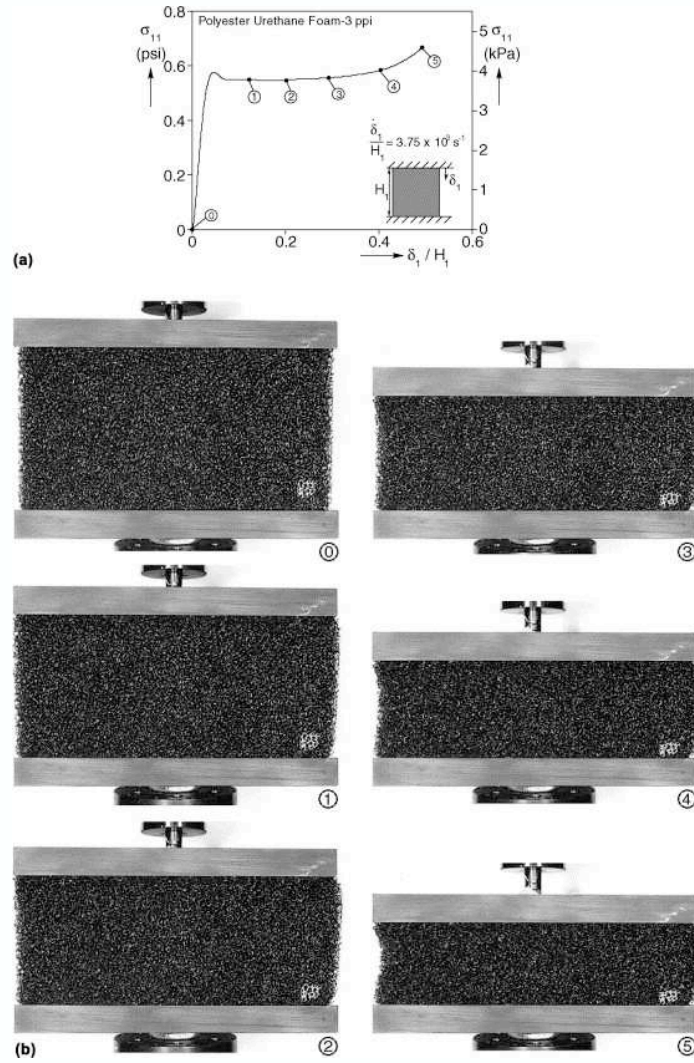


**KINKBAND** INSTABILITY IN  
AXIALLY LOADED, FIBER  
REINFORCED COMPOSITES





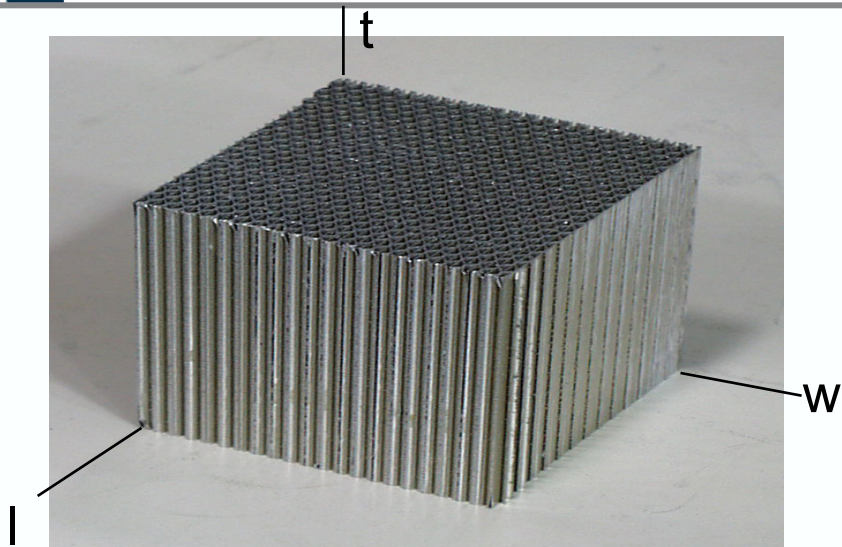
## MICROSTRUCTURAL FAILURE MECHANISMS



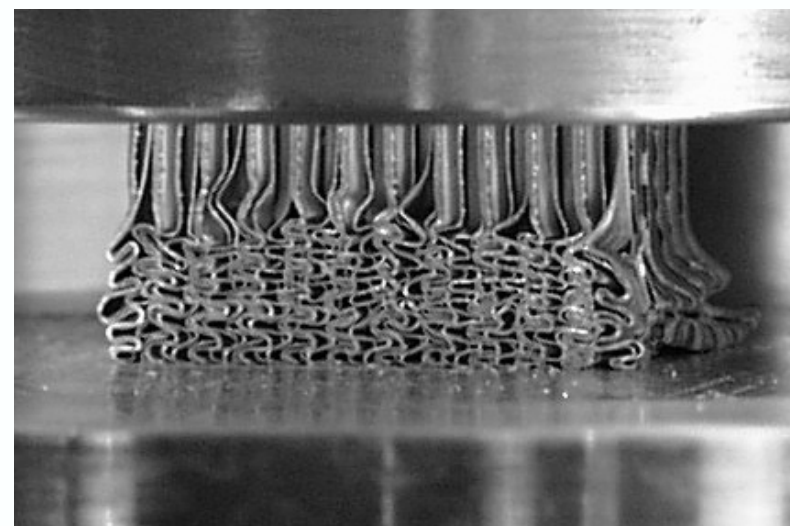
**LOCALIZATION OF DEFORMATION  
INSTABILITY IN COMPRESSED  
FOAM AND HONEYCOMB**



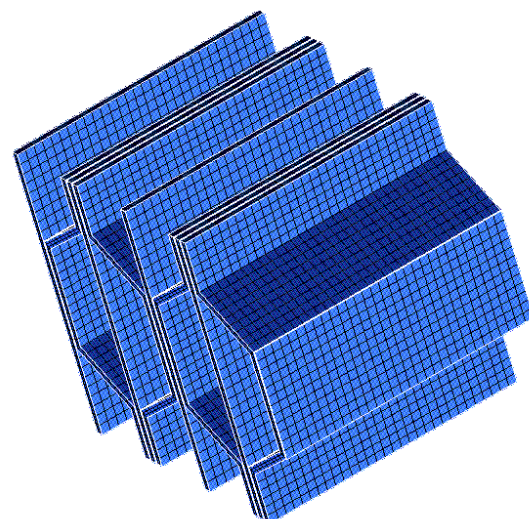
# INSTABILITY EXAMPLES IN SOLIDS



**REINFORCED COMPOSITE**



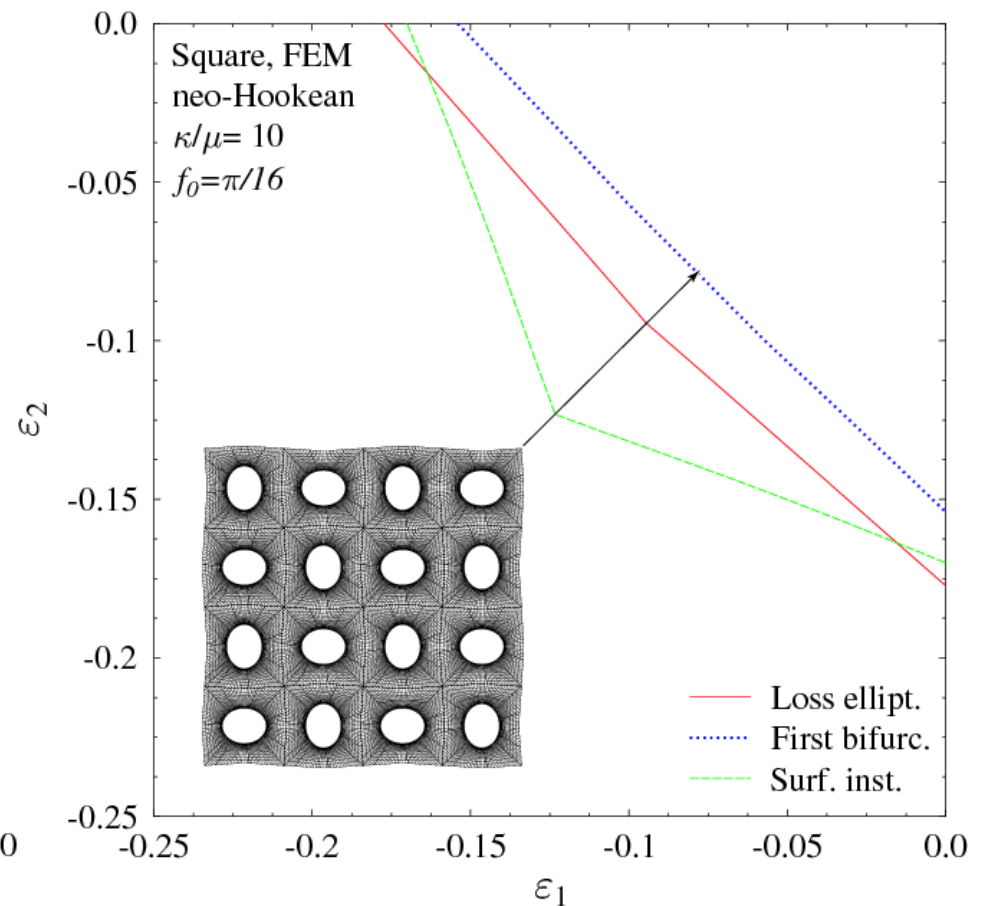
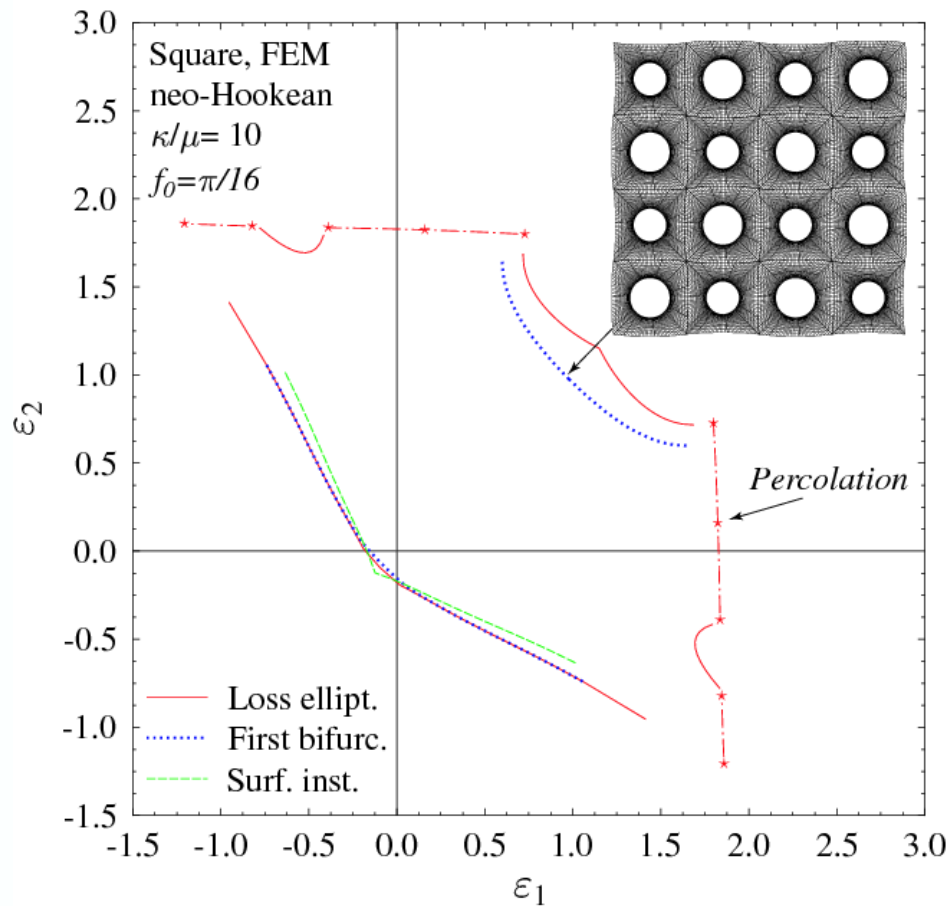
**ROOM TEMPERATURE COLLAPSE**



**HIGH TEMPERATURE COLLAPSE**

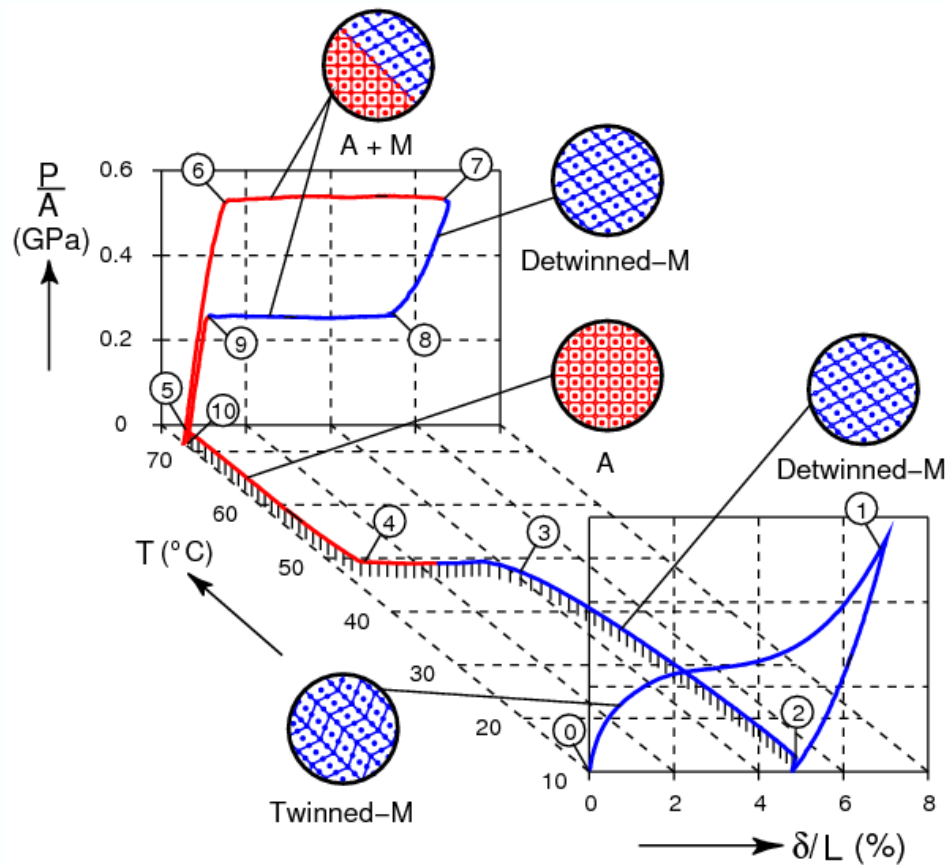


## INSTABILITY IN PERIODIC POROUS ELASTOMERS





## SHAPE MEMORY ALLOY (NiTi)



### Tensile behavior of NiTi

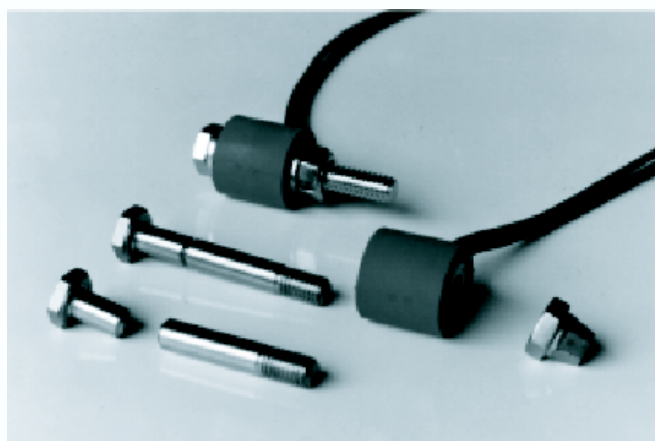
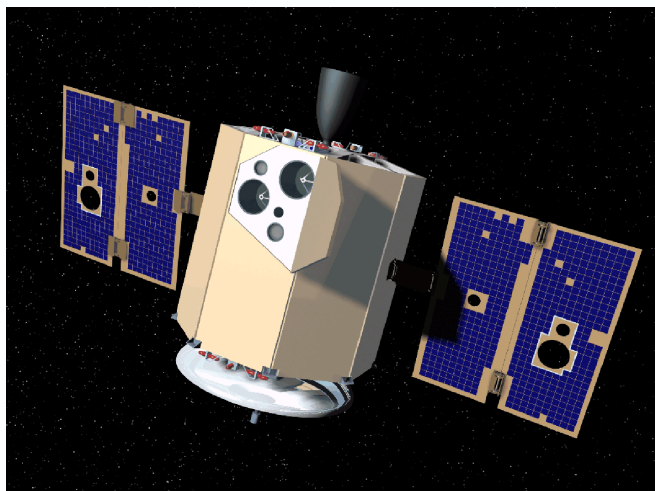
(exhibiting the shape memory effect and pseudo-elasticity)

(J. Shaw 1997)

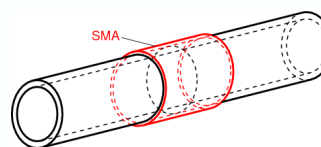




## SHAPE MEMORY ALLOY (NiTi APPLICATIONS)



← FRANGIBOLTS, SOLAR PANELS



→ SLEEVES, HYDRAULIC LINE JOINTS







## SHAPE MEMORY ALLOY (NiTi APPLICATIONS)

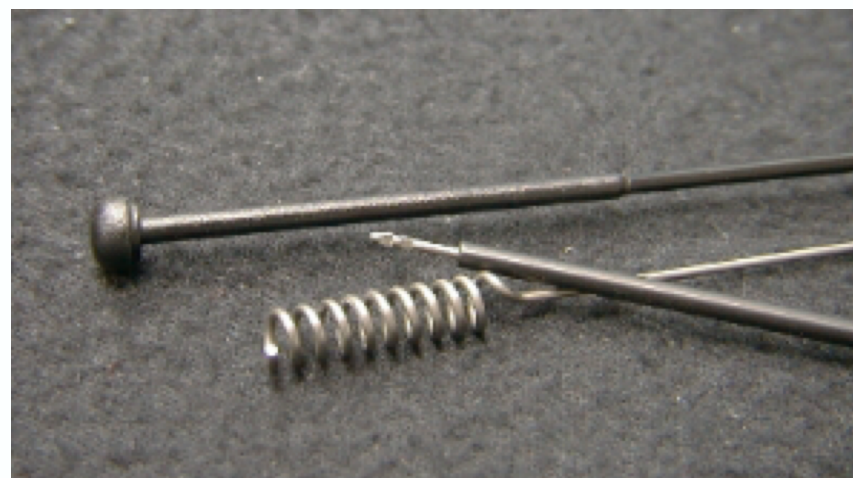
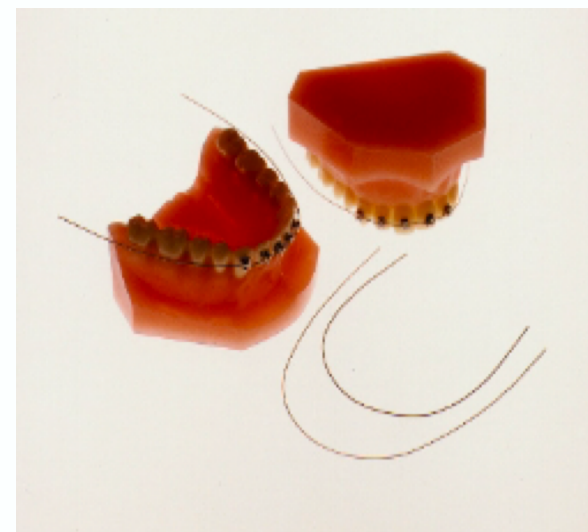


Stents

Dental wire

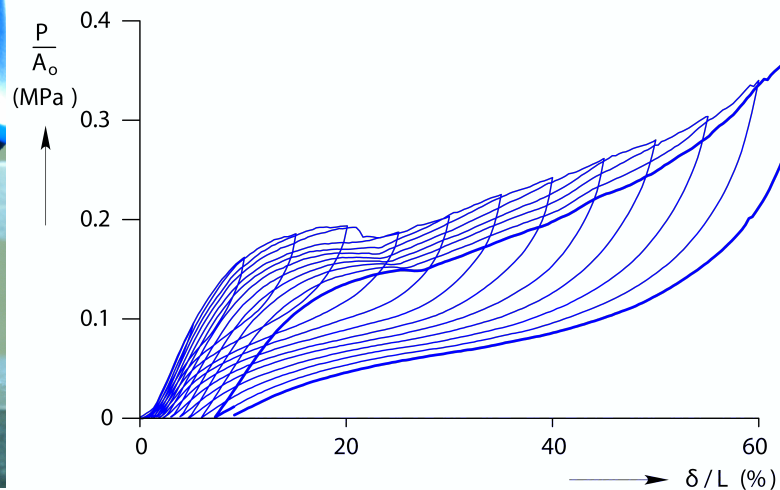
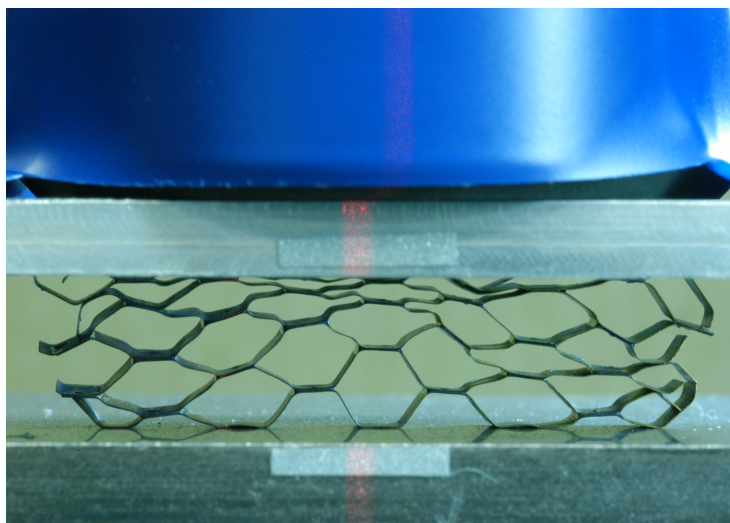
Glass frames

Cell phone antennas

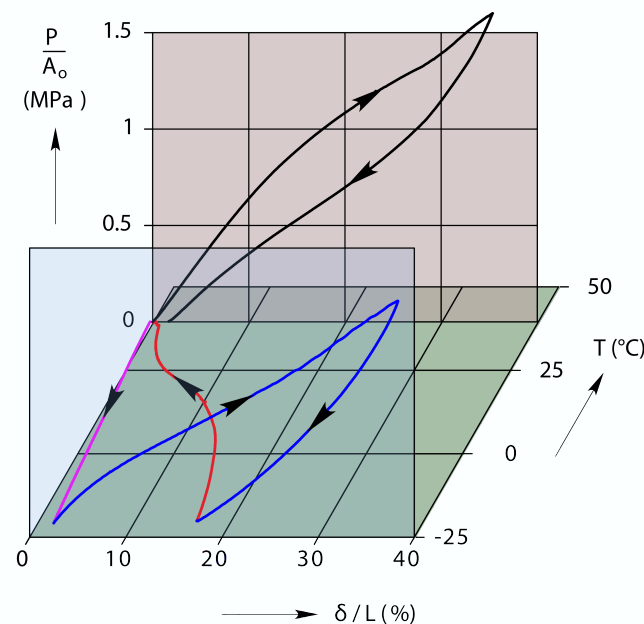
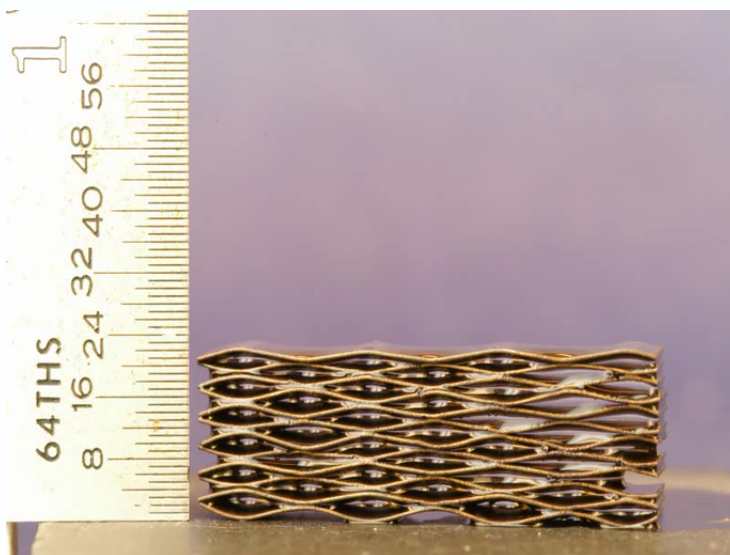




# INSTABILITY EXAMPLES IN SOLIDS



**ISOTHERMAL  
RESPONSE**



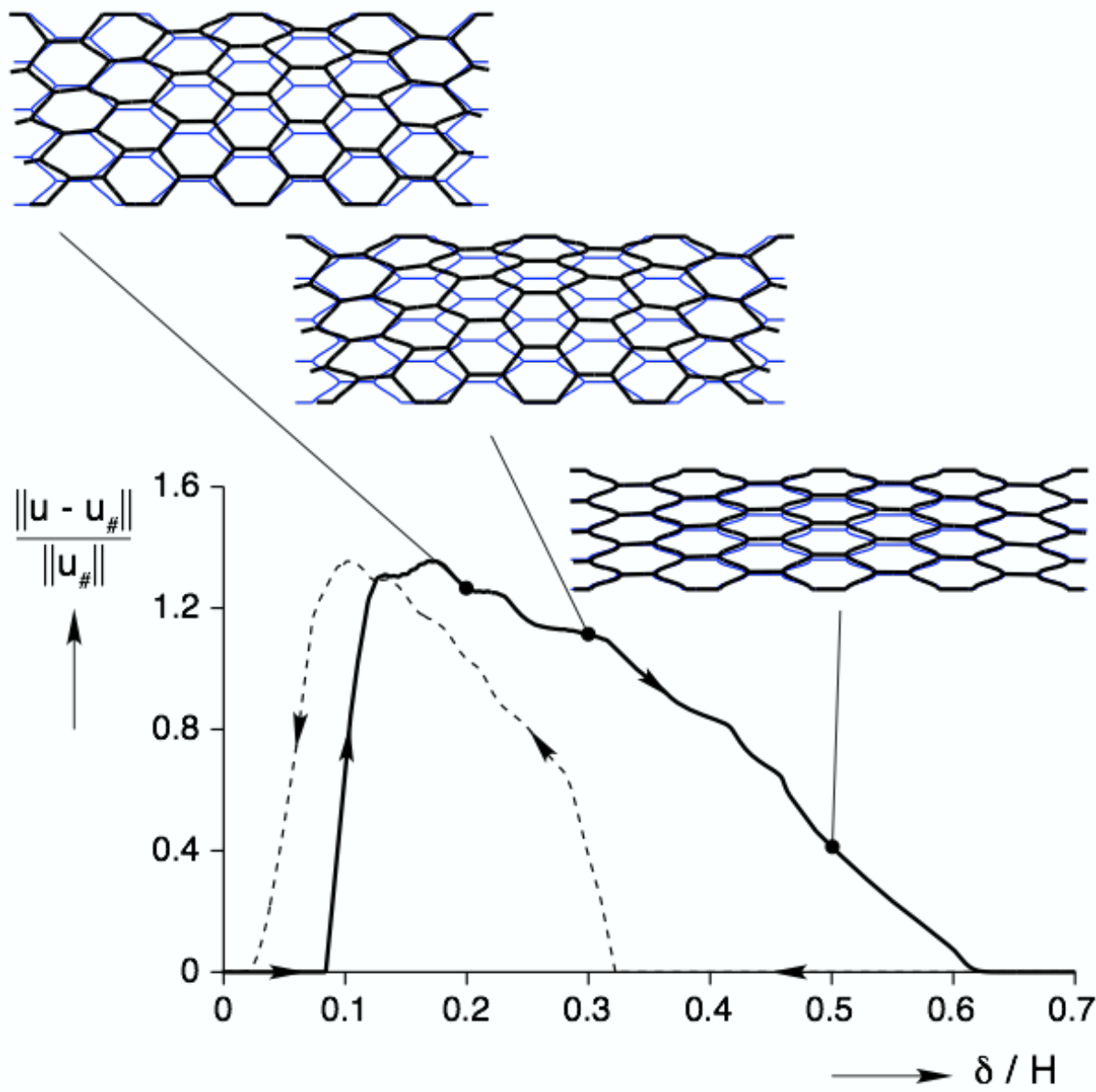
**SHAPE  
MEMORY  
RESPONSE**



# INSTABILITY EXAMPLES IN SOLIDS



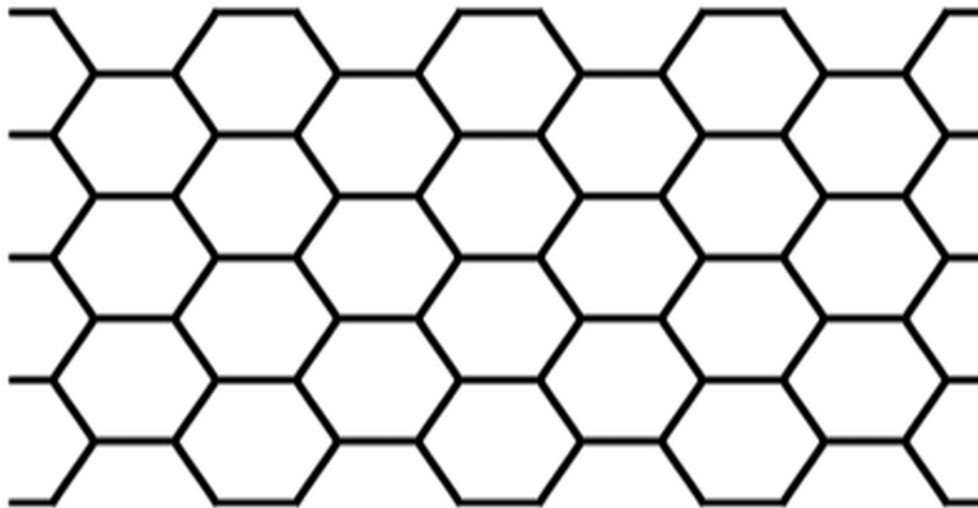
**MORPHING EFFECT IN ISOTHERMAL RESPONSE (DUE TO STABILITY & PHASE TRANSFORMATION)**



**STABILITY OF INFINITE STRUCTURE EXPLAINS BEHAVIOR OF FINITE SPECIMENS**

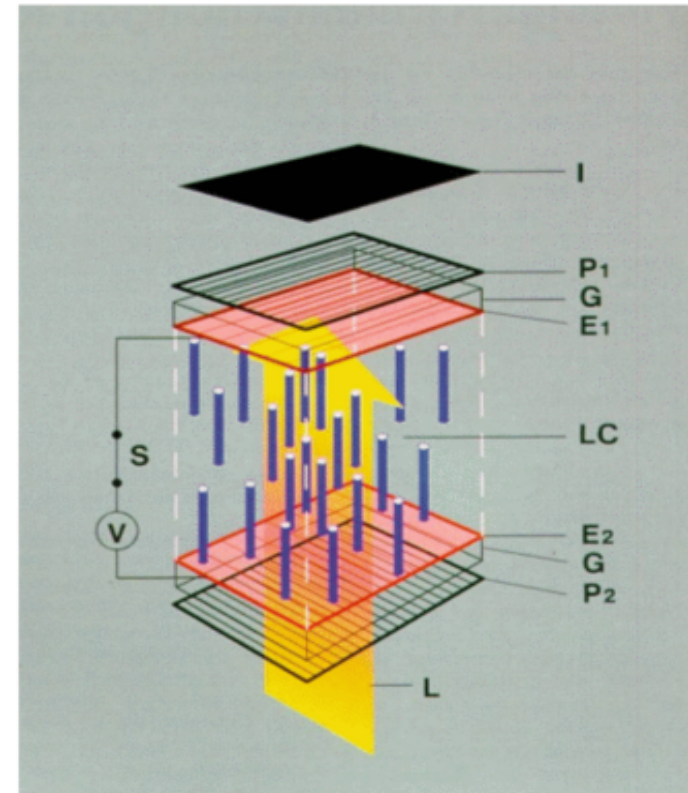
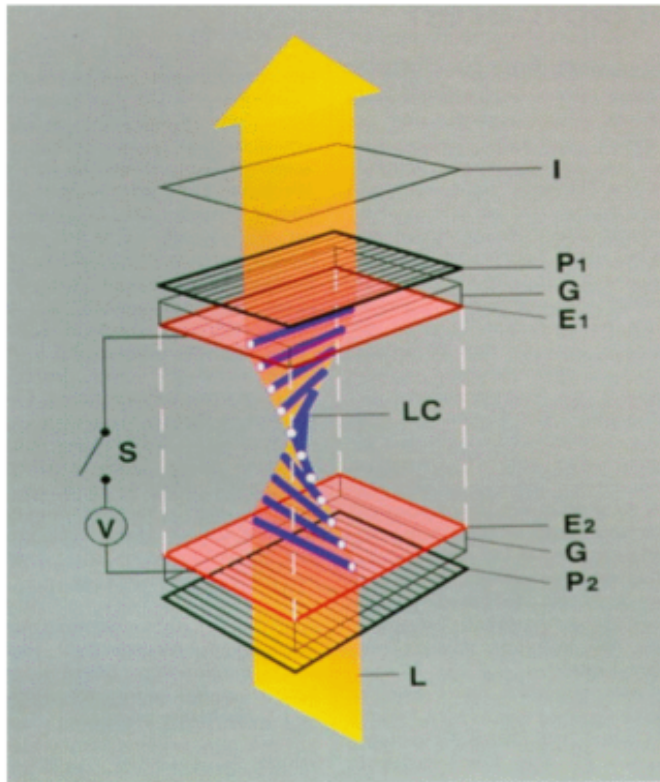


# INSTABILITY EXAMPLES IN SOLIDS





## TWISTED NEMATIC DEVICE – STABILITY LCD



**UNDER NO ELECTRIC FIELD FILAMENTS LIE IN THE PLANE OF THE LCD AND ARE PARALLEL TO THE TWO GLASS PLATES**

**UNDER AN ELECTRIC FIELD FILAMENTS ROTATE OUT OF PLANE AND ARE NORMAL TO THE TWO GLASS PLATES**



# STABILITY OF AN EQUILIBRIUM



**DEFINITION:** A EQUILIBRIUM STATE IS STABLE IF A “SMALL” INITIAL PERTURBATION PRODUCES A SOLUTION THAT REMAINS “CLOSE” TO IT AT ALL SUBSEQUENT TIMES

$\dot{\mathbf{p}} = \mathbf{f}(\mathbf{p}, t)$ ,  $\mathbf{p}(t) \in \mathbb{R}^n$  discrete system with  $n$  generalized d.o.f.

$\mathbf{p}_e(t) = \mathbf{p}_e$ ,  $\forall t \geq 0$  ( $\dot{\mathbf{p}}_e = 0$ ), equilibrium state

$\mathbf{p}_0 \equiv \mathbf{p}(0)$ , initial conditions at  $t = 0$

**THE SYSTEM IS STABLE WHEN :**

$\forall \varepsilon > 0$ ,  $\exists \{\mathbf{p}_0(\varepsilon), \eta(\varepsilon) > 0\}$  such  $\|\mathbf{p}_0 - \mathbf{p}_e\| \leq \eta \implies \|\mathbf{p}(t) - \mathbf{p}_e\| \leq \varepsilon$ ,  $\forall t > 0$

**OTHER STABILITY DEFINITIONS (ASYMPTOTIC STABILITY) :**

$\exists \eta > 0$  such  $\|\mathbf{p}(0) - \mathbf{p}_e\| < \eta \implies \lim_{t \rightarrow \infty} \mathbf{p}(t) = \mathbf{p}_e$

**NOTE :**  $\|\cdot\|$  denotes Euclidean norm (all norms in  $\mathbb{R}^n$  are equivalent)



# STABILITY OF AN EQUILIBRIUM



## TWO WIDELY USED METHODS TO CHECK STABILITY:

### 1. LINEARIZATION METHOD

- a) **Linearization** of the equations of motion about equilibrium state
- b) Stability analysis of the linearized perturbed motions

**STABILITY** if all eigenvalues have negative real part

- c) **Justification** of the results with respect to the actual motion of the system
- 

### 2. LYAPUNOV'S DIRECT METHOD

**STABILITY** guaranteed when a non-increasing functional  $L(p(t))$  can be found that satisfies certain bounding properties for the initial conditions and the current state (to be specified subsequently)



# STABILITY OF AN EQUILIBRIUM



## LINEARIZATION METHOD

$$\dot{\mathbf{p}} = \mathbf{f}(\mathbf{p}) = \mathbf{f}(\mathbf{p}_e) + \left[ \frac{\partial \mathbf{f}}{\partial \mathbf{p}} \right]_e [\mathbf{p} - \mathbf{p}_e] + \mathbf{o}(\|\mathbf{p} - \mathbf{p}_e\|), \quad \text{Taylor series expansion of } \mathbf{f}$$

$$0 = \mathbf{f}(\mathbf{p}_e), \quad \text{recall from equilibrium}$$

$$\Delta \mathbf{p} \equiv \mathbf{p} - \mathbf{p}_e, \quad \mathbf{A} \equiv \left[ \frac{\partial \mathbf{f}}{\partial \mathbf{p}} \right]_e, \quad \text{definitions}$$

$$\Delta \dot{\mathbf{p}} = \mathbf{A} \Delta \mathbf{p}, \quad \text{LINEARIZED SYSTEM (approximates actual one)}$$

$$\Delta \mathbf{p}(t) = \exp[t\mathbf{A}] \Delta \mathbf{p}(0), \quad \text{solution of linearized system}$$

$$\Delta \mathbf{p}(t) \text{ bounded } \forall t > 0 \text{ iff } \Re(a_i) < 0 \forall \text{ eigenvalues } a_i \text{ of } \mathbf{A}$$

**STABILITY OF  
LINEARIZED  
SYSTEM**

**NOTE** : for simplicity  $\partial \mathbf{f} / \partial t = 0$ , autonomous system  $\implies \mathbf{A}$  is a constant matrix





# STABILITY OF AN EQUILIBRIUM



## LINEARIZATION METHOD (LYAPUNOV'S THEOREM)

- If the real part of **all** the eigenvalues  $a_i$  of the linearized system's matrix  $A$  are **negative**, (not necessarily strictly so) the system is **stable**
- If the real part of at least **one** eigenvalue  $a_i$  of the linearized system's matrix  $A$  is **strictly positive**, the system is **unstable**

**NOTE:** Proof of stability for nonlinear system requires additional information about the growth of the difference between the linearized and nonlinear systems as a function of the independent variable  $p$



# STABILITY OF AN EQUILIBRIUM



## LYAPUNOV'S DIRECT METHOD

A system is **stable** if a functional  $L(\mathbf{p}(t))$  **can be found** with the following properties:

- $\frac{dL}{dt} \leq 0$ , (functional is nonincreasing)
- $L(\mathbf{p}(t)) \geq c \|\mathbf{p}(t) - \mathbf{p}_e\|^2$ , ( $c > 0$ ; functional measures distance from equilibrium)
- $L(\mathbf{p}(0)) \leq d \|\mathbf{p}(0) - \mathbf{p}_e\|^2$ , ( $d > 0$ ; functional measures initial perturbation)

**PROOF :**

$$c \|\mathbf{p}(t) - \mathbf{p}_e\|^2 \leq L(\mathbf{p}(t)) \leq L(\mathbf{p}(0)) \leq d \|\mathbf{p}(0) - \mathbf{p}_e\|^2 \implies \|\mathbf{p}(t) - \mathbf{p}_e\| \leq \varepsilon; (\eta \leq \varepsilon \sqrt{c/d})$$

**NOTE:** Finding a Lyapunov functional for a stable system is **not always** possible



# FINITE D.O.F. SYSTEM: EXAMPLE – 1



STABILITY OF A TWO-BAR PLANAR,  
FRICTIONLESS MECHANISM  
SUBJECTED TO A FOLLOWER LOAD

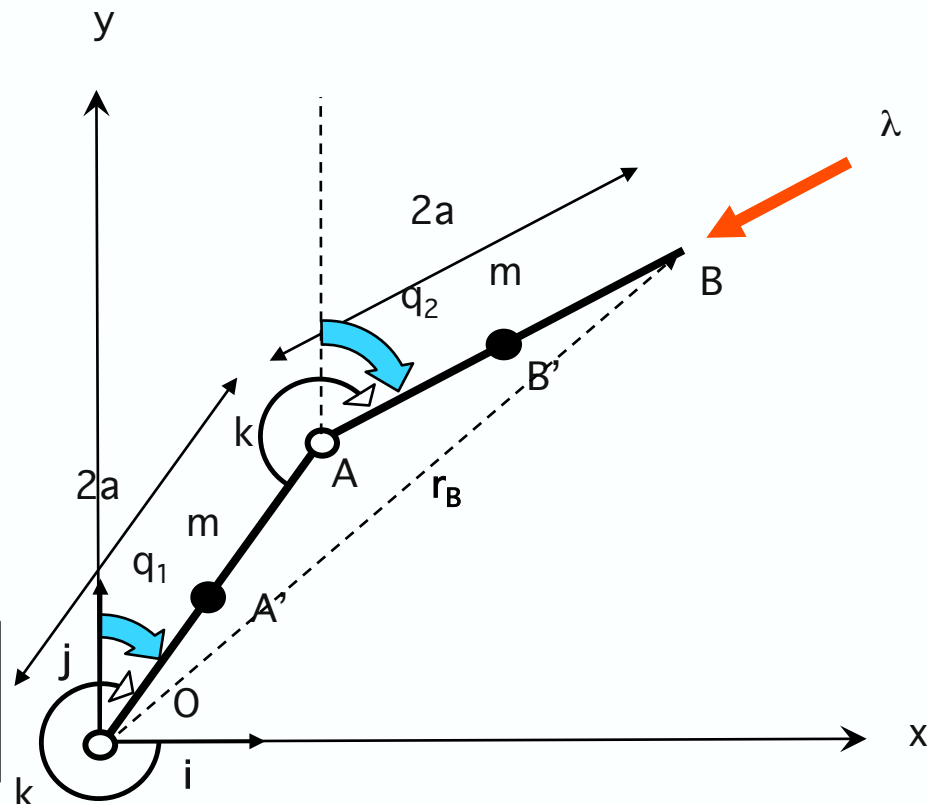
FROM LAGRANGIAN DYNAMICS OF  
MECHANICAL SYSTEMS ONE HAS THE  
GENERALIZED EQUATIONS OF MOTION:

$$F_i^E = \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{q}_i} \right) - \frac{\partial \mathcal{L}}{\partial q_i}, \quad \mathcal{L} \equiv \mathcal{K} - \mathcal{E},$$

$q_i$   $i$ th generalized d.o.f.,  $i = 1, 2$

$F_i^E$  generalized external force conjugate to  $q_i$

$\mathcal{L}$  system's Lagrangian,  $\mathcal{K}$  system's kinetic energy,  $\mathcal{E}$  system's potential energy





# FINITE D.O.F. SYSTEM: EXAMPLE – 1



## CALCULATION OF KINETIC ENERGY OF MASSES AT A' & B'

$$\mathcal{K} = \frac{1}{2} m v_{A'}^2 + \frac{1}{2} m v_{B'}^2 = \frac{1}{2} m (\dot{\mathbf{r}}_{A'} \bullet \dot{\mathbf{r}}_{A'} + \dot{\mathbf{r}}_{B'} \bullet \dot{\mathbf{r}}_{B'})$$

$$\mathbf{r}_{A'} = (a \sin q_1) \mathbf{i} + (a \cos q_1) \mathbf{j}$$

$$\mathbf{r}_{B'} = (2a \sin q_1 + a \sin q_2) \mathbf{i} + (2a \cos q_1 + a \cos q_2) \mathbf{j}$$

$$\mathcal{K} = ma^2 \left[ \frac{5}{2} (\dot{q}_1)^2 + \frac{1}{2} (\dot{q}_2)^2 + 2\dot{q}_1 \dot{q}_2 \cos(q_1 - q_2) \right]$$

## CALCULATION OF EXTERNAL FORCES (GENERALIZED VELOCITIES ARE ARBITRARY)

$$-\lambda (\sin q_2 \mathbf{i} + \cos q_2 \mathbf{j}) \bullet \dot{\mathbf{r}}_B = F_1^E \dot{q}_1 + F_2^E \dot{q}_2$$

$$\mathbf{r}_B = 2a [(\sin q_1 + \sin q_2) \mathbf{i} + (\cos q_1 + \cos q_2) \mathbf{j}]$$

$$F_1^E = 2a\lambda \sin(q_1 - q_2), \quad F_2^E = 0$$



# FINITE D.O.F. SYSTEM: EXAMPLE – 1



## CALCULATION OF POTENTIAL ENERGY OF SPRINGS AT A & B

$$\mathcal{E} = \frac{1}{2} [k(q_1)^2 + k(q_1 - q_2)^2]$$

BY SUBSTITUTING IN GENERAL EQUATIONS, NONLINEAR SYSTEM EQUILIBRIUM IS:

$$2kq_1 - kq_2 - 2a\lambda \sin(q_1 - q_2) + ma^2 [5\ddot{q}_1 + 2\ddot{q}_2 \cos(q_1 - q_2) - 2\dot{q}_2(\dot{q}_1 - \dot{q}_2) \sin(q_1 - q_2) + 2\dot{q}_1\dot{q}_2 \sin(q_1 - q_2)] = 0, \quad (q_1 - \text{equation})$$

$$-kq_1 + kq_2 + ma^2 [\ddot{q}_2 + 2\ddot{q}_1 \cos(q_1 - q_2) - 2\dot{q}_1(\dot{q}_1 - \dot{q}_2) \sin(q_1 - q_2) - 2\dot{q}_1\dot{q}_2 \sin(q_1 - q_2)] = 0. \quad (q_2 - \text{equation})$$

**NOTICE THAT STRAIGHT CONFIGURATION ( $q_1 = q_2 = 0$ ) IS AN EQUILIBRIUM SOLUTION**



# FINITE D.O.F. SYSTEM: EXAMPLE – 1



THE LINEARIZED SYSTEM ABOUT THE  $q_1 = q_2 = 0$  EQUILIBRIUM STATE IS:

$$ma^2 \begin{bmatrix} 5 & 2 \\ 2 & 1 \end{bmatrix} \begin{bmatrix} \Delta\ddot{q}_1 \\ \Delta\ddot{q}_2 \end{bmatrix} + \begin{bmatrix} 2k - 2a\lambda & 2a\lambda - k \\ -k & k \end{bmatrix} \begin{bmatrix} \Delta q_1 \\ \Delta q_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

in compact form :  $\mathbf{M}\Delta\ddot{\mathbf{q}} + \mathbf{K}\Delta\mathbf{q} = \mathbf{0}$ ,  $\mathbf{M}$  : mass matrix,  $\mathbf{K}$  : stiffness matrix

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THE LINEARIZED SYSTEM HAS THE FOLLOWING SOLUTION:

$$\Delta\mathbf{q}(t) = \sum_{I=1}^2 \left( \mathbf{X}_I \exp(s_I t) + \bar{\mathbf{X}}_I \exp(\bar{s}_I t) \right), \quad \text{solution of linear system}$$

$$\text{Det}[\mathbf{K} + (s_I)^2 \mathbf{M}] = 0, \quad s_I = s_1, s_2 : \text{eigenvalues of linear system}$$

$$[\mathbf{K} + (s_I)^2 \mathbf{M}] \mathbf{X}_I = 0, \quad \mathbf{X}_I = \mathbf{X}_1, \mathbf{X}_2 : \text{eigenvectors of linear system}$$



# FINITE D.O.F. SYSTEM: EXAMPLE – 1



**THE SYSTEM'S CHARACTERISTIC EQUATION AND ITS DISCRIMINANT ARE:**

$$m^2 a^4 (s_I)^4 + m a^2 (11k - 6a\lambda) (s_I)^2 + k^2 = 0$$

$$\Delta = 3m^2 a^4 (3k - 2a\lambda)(13k - 6a\lambda), \quad \Delta : \text{discriminant of biquadratic}$$

**THE LINEARIZED SYSTEM'S EIGENVALUES DEPEND ON THE LOAD AS FOLLOWS:**

$$0 < \lambda < 3k/2a, \quad \text{all roots purely imaginary} \quad \implies \quad \text{stable}$$

$$3k/2a < \lambda < 13k/6a, \quad \text{two roots with positive real part} \quad \implies \quad \text{unstable}$$

$$13k/6a < \lambda, \quad \text{two real positive roots} \quad \implies \quad \text{unstable}$$

**ABOVE SYSTEM IS FRICTIONLESS, THIS IS WHY FOR LOW LOADS ( $0 < \lambda < 3k/2a$ ) THE AMPLITUDE OF ITS OSCILLATIONS WILL NOT DECAY. FOR REALISTIC CASE, WHEN A SMALL DISSIPATION IS PRESENT, SYSTEM IS ASYMPTOTICALLY STABLE FOR LOADS  $0 < \lambda < 3k/2a$ .**



# FINITE D.O.F. SYSTEM: EXAMPLE – 2



**STABILITY OF A TWO-BAR PLANAR,  
FRICTIONLESS MECHANISM  
SUBJECTED TO A LOAD AT A FIXED  
DIRECTION**

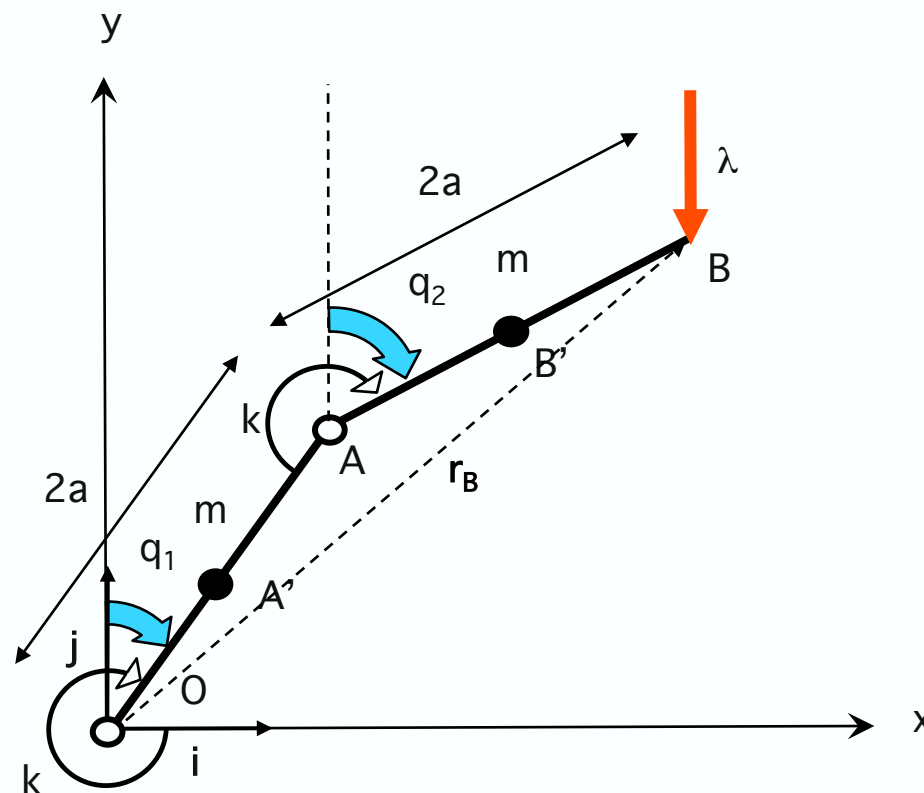
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$q_i$   $i$ th generalized d.o.f.,  $i = 1, 2$

conservative system, NO generalized external forces  $q_i$

$\mathcal{L}$  system's Lagrangian,  $\mathcal{K}$  system's kinetic energy,  $\mathcal{E}$  system's potential energy







## FINITE D.O.F. SYSTEM: EXAMPLE – 2



**CALCULATION OF KINETIC ENERGY OF MASSES AT A' & B' SAME AS BEFORE**

$$\mathcal{K} = ma^2 \left[ \frac{5}{2}(\dot{q}_1)^2 + \frac{1}{2}(\dot{q}_2)^2 + 2\dot{q}_1\dot{q}_2 \cos(q_1 - q_2) \right]$$

**CALCULATION OF POTENTIAL ENERGY OF SPRINGS AND APPLIED LOAD**

$$\mathcal{E} = \frac{1}{2} [k(q_1)^2 + k(q_1 - q_2)^2] + [2\lambda a (\cos q_1 + \cos q_2)]$$

**BY SUBSTITUTING IN GENERAL EQUATIONS, NONLINEAR SYSTEM EQUILIBRIUM IS:**

$$2kq_1 - kq_2 - 2a\lambda \sin q_1 + ma^2 [5\ddot{q}_1 + 2\ddot{q}_2 \cos(q_1 - q_2) - 2\dot{q}_2(\dot{q}_1 - \dot{q}_2) \sin(q_1 - q_2) + 2\dot{q}_1\dot{q}_2 \sin(q_1 - q_2)] = 0, \quad (q_1 - \text{equation})$$

$$-kq_1 + kq_2 - 2a\lambda \sin q_2 + ma^2 [\ddot{q}_2 + 2\ddot{q}_1(q_1 - q_2) \cos(q_1 - q_2) - 2\dot{q}_1(\dot{q}_1 - \dot{q}_2) \sin(q_1 - q_2) - 2\dot{q}_1\dot{q}_2 \sin(q_1 - q_2)] = 0. \quad (q_2 - \text{equation})$$



## FINITE D.O.F. SYSTEM: EXAMPLE – 2



THE LINEARIZED SYSTEM ABOUT THE  $q_1 = q_2 = 0$  EQUILIBRIUM STATE IS:

$$ma^2 \begin{bmatrix} 5 & 2 \\ 2 & 1 \end{bmatrix} \begin{bmatrix} \Delta \ddot{q}_1 \\ \Delta \ddot{q}_2 \end{bmatrix} + \begin{bmatrix} 2k - 2a\lambda & -k \\ -k & k - 2a\lambda \end{bmatrix} \begin{bmatrix} \Delta q_1 \\ \Delta q_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

THE SYSTEM'S CHARACTERISTIC EQUATION IS:

$$m^2 a^4 (s_I)^4 + ma^2 (11k - 12a\lambda) (s_I)^2 + k^2 - 6a\lambda k + 4a^2$$

THE LINEARIZED SYSTEM'S EIGENVALUES DEPEND ON THE LOAD AS FOLLOWS:

$0 < \lambda < (3 - \sqrt{5}k)/4a$ , all roots purely imaginary  $\implies$  stable

$(3 - \sqrt{5}k)/4a < \lambda < (3 + \sqrt{5}k)/4a$ , two roots with positive real part  $\implies$  unstable

$(3 + \sqrt{5}k)/4a < \lambda$ , two real positive roots  $\implies$  unstable

**ABOVE SYSTEM IS FRICTIONLESS, THIS IS WHY FOR LOW LOADS ( $0 < \lambda < (3 - \sqrt{5}k)/4a$ ) THE AMPLITUDE OF ITS OSCILLATIONS WILL NOT DECAY. FOR REALISTIC CASE, **WHEN A SMALL DISSIPATION IS PRESENT, SYSTEM IS ASYMPTOTICALLY STABLE FOR LOADS  $0 < \lambda < (3 - \sqrt{5}k)/4a$ .****



## FINITE D.O.F. SYSTEM: EXAMPLE – 2



SINCE THE SYSTEM IS **CONSERVATIVE**, CHECK MINIMUM POTENTIAL ENERGY

$$\mathcal{E} = \left[ \frac{1}{2} k(q_1)^2 + \frac{1}{2} k(q_1 - q_2)^2 \right] + \left[ 2\lambda a (\cos q_1 + \cos q_2) \right]$$

$$\left[ \frac{\partial^2 \mathcal{E}(\mathbf{q})}{\partial q_i \partial q_j} \right]_{\mathbf{q}_e} = \begin{bmatrix} 2k - 2\lambda a & -k \\ -k & k - 2\lambda a \end{bmatrix}$$

$\mathcal{E}$  loses positive definiteness at :  $\lambda = (3 - \sqrt{5})k/4a$

**IMPORTANT NOTE:** IN CONSERVATIVE SYSTEMS, THE MATRIX A GOVERNING THE LINEARIZED PROBLEM IS **SYMMETRIC** ( $\mathbf{A} = \mathbf{A}^T$ )



# STABILITY OF AN EQUILIBRIUM



## STABILITY OF CONSERVATIVE SYSTEMS (LEJEUNE-DIRICHLET THEOREM)

$\mathbf{p} \equiv (\mathbf{q}, \dot{\mathbf{q}})$ ;  $\mathbf{q}$  : generalized displ.,  $\mathcal{K}(\mathbf{q}, \dot{\mathbf{q}})$  : (kinetic energy),  $\mathcal{E}(\mathbf{q})$  : (potential energy)

•  $\dot{\mathcal{K}} + \dot{\mathcal{E}} = 0$ , (CONSERVATIVE SYSTEM)

•  $\mathcal{E}(\mathbf{q}) \geq \mathcal{E}(\mathbf{q}_e)$

$\implies L(\mathbf{p}(t)) \equiv \mathcal{E}(\mathbf{q}) + \mathcal{K}(\mathbf{q}, \dot{\mathbf{q}}) - \mathcal{E}(\mathbf{q}_e)$  IS SYSTEM'S LYAPUNOV FUNCTIONAL

**PROOF :**

$\exists c_1 > 0, c_2 > 0$  such :  $\mathcal{E}(\mathbf{q}) - \mathcal{E}(\mathbf{q}_e) \geq c_1 \|\mathbf{q} - \mathbf{q}_e\|^2, \quad \mathcal{K} \geq c_2 \|\dot{\mathbf{q}}\|^2$

$\mathcal{E}(\mathbf{q}) - \mathcal{E}(\mathbf{q}_e) + \mathcal{K}(\mathbf{q}, \dot{\mathbf{q}}) \geq c \|\mathbf{q} - \mathbf{q}_e, \dot{\mathbf{q}} - \dot{\mathbf{q}}_e\|^2 = c \|\mathbf{p} - \mathbf{p}_e\|^2$

$\exists d_1 > 0, d_2 > 0$  such :  $\mathcal{E}(\mathbf{q}(0)) - \mathcal{E}(\mathbf{q}_e) \leq d_1 \|\mathbf{q}(0) - \mathbf{q}_e\|^2, \quad \mathcal{K} \leq d_2 \|\dot{\mathbf{q}}(0)\|^2$

$\mathcal{E}(\mathbf{q}(0)) - \mathcal{E}(\mathbf{q}_e) + \mathcal{K}(\mathbf{q}(0), \dot{\mathbf{q}}(0)) \leq d \|\mathbf{q}(0) - \mathbf{q}_e, \dot{\mathbf{q}}(0) - \dot{\mathbf{q}}_e\|^2 = d \|\mathbf{p}(0) - \mathbf{p}_e\|^2$

**CONSERVATIVE SYSTEM IS STABLE IFF POTENTIAL ENERGY MINIMIZED AT EQUILIBRIUM**